

Lecture 3

# Linear Systems and Elimination

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Based on Dr. Ralph Chikhany's Slide



## Strang Sections 2.1 – Vectors and Linear Equations and 2.2 – The Idea of Elimination

Course notes adapted from *Introduction to Linear Algebra* by Strang (5<sup>th</sup> ed),  
N. Hammoud's NYU lecture notes, and *Interactive Linear Algebra* by  
Margalit and Rabinoff, in addition to our text



# Elimination

# Systems of Equations

**Example:** Solve the system

$$x_1 + 2x_2 + 3x_3 = 6$$

$$2x_1 + 5x_2 + 2x_3 = 4$$

$$6x_1 - 3x_2 + x_3 = 2$$

What strategies do you know?

# General Case

Suppose we are given a system of  $m$  equations in  $n$  unknowns:

$$a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n = b_1$$

$$a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n = b_2$$

$\vdots$

$$a_{j1}x_1 + a_{j2}x_2 + \cdots + a_{jn}x_n = b_j$$

$\vdots$

$$a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n = b_m$$

our goal is to find  $x_1, \dots, x_n$ .

# The Process of Elimination

$$a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n = b_1 \quad \text{first pivot}$$

$$a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n = b_2$$

⋮

$$a_{j1}x_1 + a_{j2}x_2 + \cdots + a_{jn}x_n = b_j$$

⋮

$$a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n = b_m$$

To do that, we choose one equation which has a nonzero coefficient multiplying  $x_1$  and use that to eliminate  $x_1$  from all the remaining equations. This equation is referred to as the *first pivot*, and it could be any of the  $m$  equations in our system, e.g, the  $j^{\text{th}}$  equation  $a_{j1}x_1 + a_{j2}x_2 + \cdots + a_{jn}x_n = b_j$ .

# The Process of Elimination

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$$a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n = b_m$$

this or any other equation with  
nonzero coefficient in front of  $x_1$   
can also be chosen as **first pivot**

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# The Process of Elimination

$$a_{j1}x_1 + a_{j2}x_2 + \cdots + a_{jn}x_n = b_j$$

$$\bullet x_2 + \cdots + \bullet x_n = \leftarrow$$

⋮

$$\bullet x_2 + \cdots + \bullet x_n = \uparrow$$

the system after choosing the  $j$ th equation as first pivot and using it to eliminate  $x_1$  from the remaining equations

# The Process of Elimination

$$a_{j1}x_1 + a_{j2}x_2 + \cdots + a_{jn}x_n = b_j$$

$$\cdot x_2 + \cdots + \cdot x_n = \leftarrow$$

⋮

$$\cdot x_2 + \cdots + \cdot x_n = \uparrow$$

choose **second pivot** with nonzero coefficient, and use it to eliminate from all remaining equations except the first pivot

Once we have eliminated  $x_1$  from all equations except the first pivot, we move the pivot to the top, and leave it unaltered, then we choose another pivot from the remaining  $m - 1$  equations, which has a nonzero coefficient multiplying  $x_2$ . We use this *second pivot* to eliminate  $x_2$  from the  $m - 2$  equations, i.e., all equations except the pivot equations (first and second).

# The Process of Elimination

$$\begin{aligned} a_{j1}x_1 + a_{j2}x_2 + a_{j3}x_3 + \cdots + a_{jn}x_n &= b_j && \text{first pivot} \\ \cdot x_2 + \cdot x_3 + \cdots + \cdot x_n &= \leftarrow && \text{second pivot} \\ \cdot x_3 + \cdots + \cdot x_n &= \circ && \text{third pivot} \\ \vdots &&& \\ \cdot x_3 + \cdots + \cdot x_n &= \boxtimes \end{aligned}$$

Once that is done, we move the second pivot and place it right under the first, and we leave it unaltered. We proceed by selecting a third pivot, which we use to eliminate  $x_3$  from the remaining  $m - 3$  equations.

# Systems in Upper Triangular Form

$$\begin{aligned} a_{j1}x_1 + a_{j2}x_2 + a_{j3}x_3 + \cdots + a_{jn}x_n &= b_j \\ \bullet x_2 + \bullet x_3 + \cdots + \bullet x_n &= \leftarrow \\ \bullet x_3 + \cdots + \bullet x_n &= \circ \\ &\vdots \\ \bullet x_n &= ? \end{aligned}$$

We continue with this procedure, until the system is upper triangular. Once that is achieved, we can use the last equation to solve for  $x_n$  and then back-solve for all the remaining unknowns.

# Example

**Example:** Solve the system

$$x_1 + 2x_2 + 3x_3 = 6$$

$$2x_1 + 5x_2 + 2x_3 = 4$$

$$6x_1 - 3x_2 + x_3 = 2$$

# Example

**Example:** Solve the system

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This process is known as the Gauss-Jordan elimination method.  
We can go even further to make the work more practical.

# Gauss-Jordan

## Example

Solve the system of equations

$$\begin{aligned}x + 2y + 3z &= 6 \\2x - 3y + 2z &= 14 \\3x + y - z &= -2\end{aligned}$$

**Elimination method:** in what ways can you manipulate the equations?

- ▶ Multiply an equation by a nonzero number. (scale)
- ▶ Add a multiple of one equation to another. (replacement)
- ▶ Swap two equations. (swap)



# Elimination

## Example

Solve the system of equations

$$x + 2y + 3z = 6$$

$$2x - 3y + 2z = 14$$

$$3x + y - z = -2$$

# Elimination

It sure is a pain to have to write  $x, y, z$ , and  $=$  over and over again.

**Matrix notation:** write just the numbers, in a box, instead!

$$\begin{array}{r} x + 2y + 3z = 6 \\ 2x - 3y + 2z = 14 \\ 3x + y - z = -2 \end{array} \quad \begin{array}{l} \text{becomes} \\ \rightsquigarrow \end{array} \quad \left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 2 & -3 & 2 & 14 \\ 3 & 1 & -1 & -2 \end{array} \right)$$

This is called an **(augmented) matrix**. Our equation manipulations become **elementary row operations**:

- ▶ Multiply all entries in a row by a nonzero number. **(scale)**
- ▶ Add a multiple of each entry of one row to the corresponding entry in another. **(row replacement)**
- ▶ Swap two rows. **(swap)**

# General Case

Suppose we are given a system of  $m$  equations in  $n$  unknowns:

$$\begin{aligned}a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n &= b_1 \\a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n &= b_2 \\&\vdots \\a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n &= b_m\end{aligned}$$

This system can be written in matrix form as:

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & & & \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}$$

in augmented form



$$\left[ \begin{array}{cccc|c} a_{11} & a_{12} & \cdots & a_{1n} & b_1 \\ a_{21} & a_{22} & \cdots & a_{2n} & b_2 \\ \vdots & & & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} & b_m \end{array} \right]$$

# Elimination

## Example

Solve the system of equations

$$\begin{aligned}x + 2y + 3z &= 6 \\2x - 3y + 2z &= 14 \\3x + y - z &= -2\end{aligned}$$

Start:

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 2 & -3 & 2 & 14 \\ 3 & 1 & -1 & -2 \end{array} \right)$$

**Goal:** we want our elimination method to eventually produce a system of equations like

$$\begin{array}{rcl} x & = & A \\ y & = & B \\ z & = & C \end{array} \quad \text{or in matrix form,} \quad \left( \begin{array}{ccc|c} 1 & 0 & 0 & A \\ 0 & 1 & 0 & B \\ 0 & 0 & 1 & C \end{array} \right)$$

So we need to do row operations that make the start matrix look like the end one.

**Strategy:** fiddle with it so we only have ones and zeros.

# Elimination

## Example

Solve the system of equations

$$x + 2y + 3z = 6$$

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# Elimination

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# Elimination

## Example

Solve the system of equations

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# Elimination – Summary of the previous example

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 2 & -3 & 2 & 14 \\ 3 & 1 & -1 & -2 \end{array} \right)$$

We want these to be zero.  
So we subtract multiples of the first row.

$$R_2 = R_2 - 2R_1$$

$$R_3 = R_3 - 3R_1$$

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 0 & -7 & -4 & 2 \\ 3 & 1 & -1 & -2 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 0 & -7 & -4 & 2 \\ 0 & -5 & -10 & -20 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 0 & -7 & -4 & 2 \\ 0 & -5 & -10 & -20 \end{array} \right)$$

We want these to be zero.

It would be nice if this were a 1.  
We could divide by  $-7$ , but that  
would produce ugly fractions.

Let's swap the last two rows first.

$$R_2 \leftrightarrow R_3$$

$$R_2 = R_2 \div -5$$

$$R_1 = R_1 - 2R_2$$

$$R_3 = R_3 + 7R_2$$

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 0 & -5 & -10 & -20 \\ 0 & -7 & -4 & 2 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 2 & 3 & 6 \\ 0 & 1 & 2 & 4 \\ 0 & -7 & -4 & 2 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 4 \\ 0 & -7 & -4 & 2 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 4 \\ 0 & 0 & 10 & 30 \end{array} \right)$$



# Elimination

$$\left( \begin{array}{ccc|c} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 4 \\ 0 & 0 & 10 & 30 \end{array} \right)$$

We want these to be zero.

Let's make this a 1 first.

$$\begin{array}{l} R_3 = R_3 \div 10 \\ \rightsquigarrow \end{array}$$

$$\begin{array}{l} R_1 = R_1 + R_3 \\ \rightsquigarrow \end{array}$$

$$\begin{array}{l} R_2 = R_2 - 2R_3 \\ \rightsquigarrow \end{array}$$

translates into  
 $\rightsquigarrow$

$$\left( \begin{array}{ccc|c} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 4 \\ 0 & 0 & 1 & 3 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 0 & 0 & 1 \\ 0 & 1 & 2 & 4 \\ 0 & 0 & 1 & 3 \end{array} \right)$$

$$\left( \begin{array}{ccc|c} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & -2 \\ 0 & 0 & 1 & 3 \end{array} \right)$$

$$\begin{array}{rcl} x & = & 1 \\ y & = & -2 \\ z & = & 3 \end{array}$$

Success!

Check:

$$\begin{array}{rcl} x + 2y + 3z & = & 6 \\ 2x - 3y + 2z & = & 14 \\ 3x + y - z & = & -2 \end{array}$$

substitute solution  
 $\rightsquigarrow$

$$\begin{array}{rcl} 1 + 2 \cdot (-2) + 3 \cdot 3 & = & 6 \\ 2 \cdot 1 - 3 \cdot (-2) + 2 \cdot 3 & = & 14 \\ 3 \cdot 1 + (-2) - 3 & = & -2 \end{array}$$



# Another Example

## Example

Solve the system of equations

$$x + y = 2$$

$$3x + 4y = 5$$

$$4x + 5y = 9$$

# Another Example

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# Recall

Suppose we are given a system of  $m$  equations in  $n$  unknowns:

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$\vdots$

$$a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n = b_m$$

This system can be written in matrix form as:

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & & & \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}$$

in augmented form



$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} & | & b_1 \\ a_{21} & a_{22} & \cdots & a_{2n} & | & b_2 \\ \vdots & & & & | & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} & | & b_m \end{bmatrix}$$

# Elimination Matrices

$$I = \begin{bmatrix} 1 & 0 & \dots & 0 & \dots & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & & \ddots & & & & & \\ 0 & 0 & \dots & 1 & \dots & 0 & \dots & 0 \\ \vdots & & & & \ddots & & & \\ 0 & 0 & \dots & 0 & \dots & 1 & \dots & 0 \\ \vdots & & & & & & \ddots & \\ 0 & 0 & \dots & 0 & \dots & 0 & \dots & 1 \end{bmatrix}$$

$$I = \begin{bmatrix} 1 & 0 & \dots & 0 & \dots & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & & \ddots & & & & & \\ 0 & 0 & \dots & 1 & \dots & 0 & \dots & 0 \\ \vdots & & & & \ddots & & & \\ 0 & 0 & \dots & 0 & \dots & 1 & \dots & 0 \\ \vdots & & & & & & \ddots & \\ 0 & 0 & \dots & 0 & \dots & 0 & \dots & 1 \end{bmatrix}$$

An elimination matrix is an  $n \times n$  matrix which takes the  $n \times n$  identity matrix and changes one of the zeros in the lower triangular or the upper triangular part of the identity matrix to some nonzero entry.

# Elimination Matrices

$$I = \begin{bmatrix} 1 & 0 & \dots & \overset{\text{Col } i}{0} & \dots & \overset{\text{Col } j}{0} & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & & \ddots & & & & & \\ 0 & 0 & \dots & 1 & \dots & 0 & \dots & 0 \\ \vdots & & & & \ddots & & & \\ 0 & 0 & \dots & \textcircled{0} & \dots & 1 & \dots & 0 \\ \vdots & & & & & & \ddots & \\ 0 & 0 & \dots & 0 & \dots & 0 & \dots & 1 \end{bmatrix} \begin{matrix} \\ \\ \\ \text{Row } i \\ \\ \text{Row } j \\ \\ \end{matrix}$$

# Elimination Matrices

$$E_{ji} = \begin{bmatrix} 1 & 0 & \dots & \overset{\text{Col } i}{0} & \dots & \overset{\text{Col } j}{0} & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & & \ddots & & & & & \\ 0 & 0 & \dots & 1 & \dots & 0 & \dots & 0 \\ \vdots & & & & \ddots & & & \\ 0 & 0 & \dots & \star & \dots & 1 & \dots & 0 \\ \vdots & & & & & & \ddots & \\ 0 & 0 & \dots & 0 & \dots & 0 & \dots & 1 \end{bmatrix} \begin{matrix} \\ \\ \\ \text{Row } i \\ \\ \text{Row } j \\ \\ \end{matrix}$$

# Elimination Matrices

$$E_{ji} = \begin{bmatrix} 1 & 0 & \dots & \overset{\text{Col } i}{0} & \dots & \overset{\text{Col } j}{0} & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & & \ddots & & & & & \\ 0 & 0 & \dots & 1 & \dots & 0 & \dots & 0 \\ \vdots & & & & \ddots & & & \\ 0 & 0 & \dots & \star & \dots & 1 & \dots & 0 \\ \vdots & & & & & & \ddots & \\ 0 & 0 & \dots & 0 & \dots & 0 & \dots & 1 \end{bmatrix}$$

Row  $i$

Row  $j$

$$E_{ji} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_i \\ \vdots \\ x_j \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_i \\ \vdots \\ x_j + (\star \cdot x_i) \\ \vdots \\ x_n \end{bmatrix}$$



# Elimination Matrices

$$E_{31} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

When this matrix acts on a vector in  $\mathbb{R}^n$ , it adds  $\star$  copies of the first row to the third row.

# Elimination Matrices

$$E_{31} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

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$$E_{31} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \xrightarrow{E_{31} \vec{x}} \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix}$$

# Elimination Matrices

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When this matrix acts on a vector in  $\mathbb{R}^n$ , it adds  $\star$  copies of the first row to the third row.

$$E_{31} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \xrightarrow{E_{31} \vec{x}} \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \star & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 + (\star \cdot x_1) \\ \vdots \\ x_n \end{bmatrix}$$

# Elimination Matrices

$$E_{32} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & \star & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \xrightarrow{E_{32} \vec{x}} \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & \star & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 + (\star \cdot x_2) \\ \vdots \\ x_n \end{bmatrix}$$

# Elimination Matrices

What does the matrix  $E_{21} = \begin{bmatrix} 1 & 0 & 0 \\ -2 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$  do to the vector  $\vec{x} = \begin{bmatrix} 2 \\ 8 \\ 10 \end{bmatrix}$  when it acts on it?

# Elimination Matrices

What does the matrix  $E_{31} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$  do to the vector  $\vec{x} = \begin{bmatrix} 2 \\ 4 \\ 10 \end{bmatrix}$  when it acts on it?

# Note

## Important

The process of doing row operations to a matrix does not change the solution set of the corresponding linear equations!

## Definition

Two matrices are called **row equivalent** if one can be obtained from the other by doing some number of elementary row operations.

The linear equations of row-equivalent matrices have the *same solution set*.

In other words, the original equations

$$\begin{array}{l} x + y = 2 \\ 3x + 4y = 5 \\ 4x + 5y = 9 \end{array} \quad \text{have the same solutions as} \quad \begin{array}{l} x + y = 2 \\ y = -1 \\ 0 = 2 \end{array}$$

But the latter system obviously has no solutions (there is no way to make them all true), so our original system has no solutions either.

## Definition

A system of equations is called **inconsistent** if it has no solution. It is **consistent** otherwise.